

AO 120 (Rev. 3/04)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	SOLICITOR SEP 24 2007	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Washington on the following Patents or Trademarks:

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
C07-1475RSM	September 20, 2007	Western District of Washington
PLAINTIFF	DEFENDANT	
ShareBuilders Corp. Et al.	FOLIOfn, Inc. Et al.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,110,971 B2	September 19, 2006	FOLIOfn, Inc.
2 6,996,539 B1	February 7, 2006	"
3 6,601,044 B1	July 29, 2003	"
4 7,117,176 B2	October 3, 2006	"
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	<input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE
Bruce Rifkin by Deputy Clerk Melody Byrd		September 24, 2007

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy